

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/834,812

04/13/2001

Shuichi Kikuchi

450100-03161

CONFIRMATION NO. 8677

FORMALITIES LETTER

OC000000006160072*

William S. Esq. FROMMER LAWRENCE & HAUG LLP 745 Fifth Avenue New York, NY 10151

Date Mailed: 06/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT 450100-03161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Shuichi KIKUCHI

Serial No.

09/834,812

Filed

April 13, 2001

For

SHUTTER FOR DISC CARTRIDGE

Art Unit

2652

745 Fifth Avenue New York, New York 10151 Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231, on July 17, 2001

:

Olenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or Registered Representative

Signature

July 17, 2001 Date of Signature

COMMUNICATION

Assistant Commissioner for Patents Attn: Box Missing Parts Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File Missing Parts of Application" (form PTO-1533) dated June 7, 2001 on the above application, a Declaration duly signed by the inventor, and a check in the amount of the required \$130.00 surcharge. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.

PATENT 450100-03161

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicant

Bv:

Glenn F. Savit Reg. No. 37,437

Tel. (212) 588-0800

Enclosure